

Sisung Securities Corporation's Anti Money Laundering Policy

Money laundering is generally defined as engaging in acts designed to conceal or disguise the true origin of criminally derived proceeds so that the unlawful proceeds appear to have derived from legitimate origins or constitute legitimate assets. Money laundering occurs in connection with a wide variety of crimes, including, but not limited to, drug trafficking, robbery, fraud, racketeering, and terrorism.¹

Sisung Securities Corporation (SSC) is strongly committed to the prevention of money laundering and any activity which facilitates money laundering or the funding of terrorist or criminal activities. This commitment includes efforts designed to comply with obligations under anti-money laundering (AML) provisions of the Money Laundering Abatement Act and Bank Secrecy Act (BSA).

A copy of the official Sisung Securities Corporation's AML Policy is available upon written request. To request a copy, please contact Sue Walsworth at Place St. Charles, 201 St. Charles Ave., Ste 4240, New Orleans, LA 70170

¹ Special NASD Notice to Members 02-21, April 2002, pg. 1.