

Sisung Securities Corporation Business Continuity Planning

Sisung Securities Corporation has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do at 504-544-7700 or ssc@sisung.com, you should call our alternative number 225-766-9184. If you cannot access us through either of those means, you should contact our clearing firm, **Pershing, LLC** phone: (201) 413-3635 website: www.pershing.com for instructions on how it may assist you.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

For more information – If you have questions about our business continuity planning, you can contact us at 504-544-7700 or email us at ssc@sisung.com.